



UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt
1/1

Applicant: McLaughlin et al.

Docket No: 20206-129 (P01-3725)

Serial No: 10/071,579

Group Art Unit: 2152

Filing Date: February 8, 2002

Examiner: Unknown

For: **METHOD AND PROTOCOL FOR ASSURING SYNCHRONOUS ACCESS TO CRITICAL FACILITIES IN A MULTI-SYSTEM CLUSTER**

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
Washington, D. C. 20231

TRANSMITTAL FOR CORRECTED FILING RECEIPT

Enclosed herewith for filing in the above-identified application are the following:

- ☒ Request for Corrected Filing Receipt;
- ☒ Red-lined copy Filing Receipt showing requested correction; and
- ☒ Postcard for date-stamped return as confirmation of receipt of these items.

There are no fees due at this time. However, the Commissioner is further authorized to charge any required additional fees, or credit any overpayment, to deposit account 02-3964.

Respectfully submitted,

Date: March 26, 2002

P. Francois de Villiers
Reg. No. 48,200

OPPENHEIMER WOLFF & DONNELLY LLP
CUSTOMER NO. 25696
P. O. Box 10356
Palo Alto, CA 94303
Tel: (650) 320-4000
Fax: (650) 320-4100

CERTIFICATE OF MAILING (37CFR 1.8 (A))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination, Customer Service Center, Commissioner for Patents, Washington, D. C. 20231

Date: March 26, 2002

Sharyl Brown



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: McLaughlin et al.

Docket No: 20206-129 (P01-3725)

Serial No: 10/071,579

Group Art Unit: 2152

Filed: February 8, 2002

Examiner: UNKNOWN

For: **"Method and Protocol for Assuring Synchronous Access to Critical Facilities in a Multi-System Cluster"**

Office of Initial Patent Examination
Customer Service Center
Commissioner For Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Applicants request that the name of the fourth inventor be corrected to include a space after the period following the inventor's middle initial as follows: Marcelo M. de Azevedo. A red-marked copy of the Official Filing Receipt is attached.

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account 02-3964.

Respectfully submitted,

Dated: March 26, 2002

OPPENHEIMER WOLFF & DONNELLY LLP

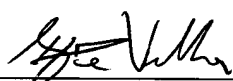
Customer No. 25696

P.O. Box 10356

Palo Alto, CA 94303

Tel: (650) 320-4000

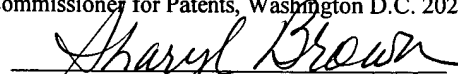
Fax: (650) 320-4100


P. Francois de Villiers
Reg. No. 25696

CERTIFICATE OF MAILING (37 CFR 1.8 (a))

I hereby certify that this correspondence with all attachments is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Initial Patent Examination, Customer Service Center, Commissioner for Patents, Washington D.C. 20231

Date: March 26, 2002


Sharyl Brown



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/071,579	02/08/2002	2152	920	20206-129 (P01-3725)	8	30	3

CONFIRMATION NO. 2672

25696
OPPENHEIMER WOLFF & DONNELLY
P. O. BOX 10356
PALO ALTO, CA 94303

FILING RECEIPT



OC000000007606840

Date Mailed: 03/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard J. McLaughlin, Pleasanton, CA;
Cynthia Sakaguchi, San Jose, CA;
Farangis Aberg, Cupertino, CA;
Marcelo M. de Azevedo, Round Rock, TX;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/286,053 04/23/2001

Foreign Applications

If Required, Foreign Filing License Granted 03/08/2002

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

Method and protocol for assuring synchronous access to critical facilities in a multi-system cluster

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).